Volume 1, Pages 1-121, Exhibits 1-12

IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF MASSACHUSETTS

Civil Action No. 04-12031-JLT

RAJENDRA D. BADGAIYAN, MD

Plaintiff

v.

ANTHONY J. PRINCIPI,

Secretary, Department of Veterans Affairs;
THE PRESIDENT AND FELLOWS OF HARVARD COLLEGE;
GRACE J. MUSHRUSH, MD

Defendants

DEPOSITION of ROBERT WILLIAM MC CARLEY, MD

Thursday, March 2, 2006, 1:15 to 4:36 PM

Law Office of Paul H. Merry, Esq.

50 Congress Street, 10th Floor

Boston, Massachusetts

_____ JONATHAN H. YOUNG, RDR, CRR -----

COURT REPORTER

Dr. Badgaiyan and the Veterans Administration and Harvard with relation to his treatment while he was a resident in something called the Harvard South Shore psychiatric residency program.

when those things happen, as they

do, the court rules empower lawyers to ask people to

come in and answer questions, people who the lawyers

have reason to think have specific knowledge about

the details of the disagreement.

The purpose is to try to improve everybody's understanding of what happened, and hopefully lead to a resolution without more of this having to take place, including not having to have a trial.

Dr. Badgaiyan believes that you may have some knowledge, and that's why he has asked that we talk with you.

It's not meant to be an unpleasant or difficult process; and if you need to take a break at any time, or if you wish to speak with your lawyer, we'll certainly accommodate you.

I would ask that you not ask to speak with your lawyer if there's a question that hasn't been answered; but apart from that, just say the

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word and we will accommodate you.
1
                One other thing. The stenographic
2
    record unfortunately does not record shakings or
3
    noddings of the head; and huh-uh...
4
            Doesn't work.
        Α.
5
            ... isn't very helpful either, really.
6
        Q.
                 I would just suggest that you try to
7
    utter a word...
8
            Say, yes, no, et cetera?
        Α.
9
            Thank you.
        Q.
10
                 What did you do to get ready for the
11
    deposition today?
12
             I reviewed the material that Mr. Field sent
13
    you, the e-mails.
14
             Anything else?
         0.
15
             That's pretty much it.
         Α.
16
             Did you speak with anyone?
17
         Q.
             Mr. Field.
         Α.
18
             I'm not asking what you said to him.
                                                     Did
         Q.
19
     you speak to anyone else besides Mr. Field?
20
             You mean about...
         Α.
21
             In preparation for this deposition today.
22
         0.
             In preparation for this deposition today?
23
     No, I didn't.
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Q. Okay. 1 I mean, people knew I was being deposed, 2 but I didn't give content. 3 Are you on any medications today that would 4 affect your ability to answer questions accurately 5 and truthfully? 6 No, I'm not. Α. 7 Is there any other reason why you'd have 8 difficulty answering truthfully and accurately the 9 questions today? 10 Α. No. 11 A nod doesn't work! 12 Could you give me your date of birth, 13 please? 14 August 17, 1937. Α. 15 Are you married? 0. 16 Α. Yes. 17 Do you have any children? Q. 18 Two children. Α. 19 Could you describe your education, starting Q. 20 with high school, up through the highest level of 21 formal education you've completed? 22 Sure. I went to Mayfield High School, Α. 23 graduated in 1955. 24

after you arrived as associate chief? 1 In 1993 or 1994 I became chief. 2 Any changes since then? 3 Yes. It's a little complicated. Brockton-4 West Roxbury was a separate institution up until 5 about the year 2000. 6 So at the Brockton-West Roxbury I was 7 head of mental health, and also chief of psychiatry, 8 and also deputy chief of staff for mental health. 9 With the merger of Brockton-West 10 Roxbury with the Jamaica Plain VA, I became head 11 of mental health, simply; and also retained the 12 title of chief of psychiatry. The institution 13 14 changed. Would this be the, what do they call it, 15 mental-health service lines? 16 That's correct, yes. It was head of mental 17 health, but another official title was a manager of 18 the mental-health service lines. The VA moved 19 toward the service-line organization. 20 By the way, I meant to ask, where is 21 Mayfield High School? 22 In Mayfield. Α. 23 In Mayfield, Kentucky. It's in the 24

program began there were wards where GPs were treating people with psychiatric diagnoses. Do you recall that?

- A. I don't recall GPs treating people with psychiatric diagnoses. You're talking about which time period, now?
- Q. Well, the time period when the residency program began.
- A. Not that I know of, or can recall, that there were GPs around.

I wasn't so terribly involved in the clinical-administration side until I became chief, and I don't recall at that time that there were GPs also.

- Q. You mentioned that you are a tenured full professor at Harvard Medical School. When did you first get appointed to the Harvard Medical School faculty?
- A. Well, actually when I was an intern at the Brigham I was an instructor, and then at Mass Mental Health I was an instructor, and then rose up the academic ladder; so pretty much from internship on through now.
 - Q. Can you by any chance recall when you went

Typical grants are in the range of, over 1 five years, probably \$2 million, \$3 million; 2 somewhere in that ballpark. 3 What's the largest number of grants you've 4 been working under at any given time? 5 From NIH, probably three or four. Α. 6 When you were appointed to the Harvard 7 faculty, how did that occur? 8 Harvard has a strict procedure. You're 9 talking about which level; the instructor level, or 10 the assistant professor or associate professor? 11 I'd love to each hear each level, but I was 12 thinking of the instructor level first. 13 A. The instructor level. That's pretty much 14 given to people who are working in an academically 15 affiliated institution, because they generally have 16 some academic duties. 17 For example, when I became an intern at 18 the Brigham, I was appointed an instructor more or 19 less routinely; and as part of that I taught some 20 medical students who were affiliated with the 21 Brigham. 22 And you say you were appointed routinely, 23

more or less; but I wonder how that process plays

Α.

1 out. Harvard has appointing departments; and 2 Α. usually a professor in the appointing department 3 appoints people in certain programs to the rank of 4 instructor. 5 I probably should revise that, 6 As an intern and as a resident you're 7 I think. really in training status and you're probably a 8 teaching fellow, and the first faculty appointment 9 comes later. 10 So that would make the first faculty 11 appointment come while I was at Mass Mental Health 12 Center, after my residency. 13 So that should be revised. You're not 14 an instructor when you're in training status, as 15 interns and residents are. 16 And what was the process whereby you 17 received the faculty appointment while you were at 18 MMHC? 19 The appointing professor at Mass Mental Α. 20 Health did that. 21 Did you ever hear of somebody named Miles Q. 22 Shore? 23

Yes. He came on as head of Mass Mental

Health after I had been there, and he was appointing professor for many years.

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- Q. Now, does Harvard have appointing professors anywhere besides Mass Mental Health that you know of?
- A. Just FYI, Mass Mental Health has since merged with the Beth Israel Deaconess.

So yes; in psychiatry, I think there are seven appointing professors. I'm one of them, for Harvard at the VA. There are others at McLean, Mass General, Children's-slash-Judge Baker, Cambridge Hospital, and of course BIDMC.

- Q. I'm sorry; I'm still not getting a very clear picture of exactly what the process of appointment entails.
- A. You want a general statement that's probably applicable at Harvard?
- Q. Well, I was thinking it might be best if you stuck to what you're particularly familiar with from your own experience.
- A. People who come on the staff who are VA employees get appointed Harvard instructors if they're involved in teaching programs or academic programs or research; that is, if they are in some

way involved in academia.

- O. And what's the process?
- A. The process is that I guess their curriculum vitae is reviewed; and if they're interested in teaching academic things, and they appear to be qualified, they're appointed.

This is something that's done pretty much by the appointing professor.

- Q. What about the appointment to assistant professor? Is that the same?
- A. No. That's very different. There, the appointing professor makes a recommendation to the Harvard psychiatry executive committee, which then makes a recommendation that's sent to the committee on promotions and appointments, a Harvard-wide committee, where people outside of psychiatry as well as within psychiatry make a judgment on the academic qualifications of the person.

There are some appointments that go to people who have served for a very long time at Harvard that don't have quite as strict academic requirements; people who have done teaching, for example, for a long time.

Q. Did you have a position in the Harvard

South Shore psychiatric residency program during the years 1999 through 2004?

- A. How do you mean, did I have a position?
- Q. Well, were you in any kind of connection to the Harvard South Shore psychiatric residency program during the years 1999 through 2004?
- A. Well, of course I was head of the Harvard department from roughly 1993-1994 on, so the academic appointments came through me; and I was also chief of psychiatry, that is, the service chief.

But I was not the residency director who really runs the program, nor the VA officer who oversees all VA educational programs. I can explain if you have any further questions.

- Q. Well, that's exactly what I'm interested in; so please tell me about that.
 - A. How can I help you understand?
- Q. Well, I'd like to know what your role was.
- A. Harvard has an agreement with all of the institutions where it is the academic affiliate; and essentially in my role as head of the Harvard department I've represented Harvard, in the sense that Harvard doesn't get involved in day-to-day

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Q.

operations, the actual running of the program, but likes to be involved in strategic planning, and likes to make sure that academic quality is preserved. In my other role as head of the mentalhealth service line or mental-health services, the 6 residents are involved in the day-to-day clinical 7 activities of the hospital; and so, obviously, I 8 had an interest in making sure that the residents 9 provided good clinical care, and that the patients 10 received good clinical care. 11 The residency director had the 12 responsibility for the day-to-day running of the 13 14 program. The judgments about suitability of 15 residents for promotions within the residency were 16 handled by the evaluations and promotions committee, 17 a group of physicians within the VA who consulted 18 with the residency director. 19 Then, above them all was the individual 20 within the VA who bore overall responsibility for 21 quality of the residency program, who was the 22 associate chief of staff for education.

By the way, who was the residency director

between 1999 and 2004, if you remember? 1 Of the Harvard South Shore? Α. 2 Yes. Q. 3 Dr. Mushrush. Α. 4 Grace Mushrush? Q. 5 That's right. Α. 6 By the way, how was it that Harvard went Q. 7 about assuring that the academic quality of the 8 program was preserved? 9 Harvard did not take part in any direct 10 oversight of that. It was primarily strategic 11 planning, overall direction of the program. 12 The clinical quality of the program, I 13 probably should be clear, there are strict criteria 14 for performance within residency. Harvard doesn't 15 That's the institution's oversee those. 16 So that was the VA's responsibility. 17 responsibility. 18 And where is all this written down? 19 I think in a memorandum of understanding 20 Α. between Harvard and the VA. 21 Have you ever seen it? 22 Yes. Α. 23 Do you recall when that was? 24

By the way, that's something that I didn't 1 get a very clear picture of. 2 You said that people who got appointed 3 to the, I think you said, assistant-professor level 4 at the medical school went to something called 5 the... 6 I understood for you to ask about promotion 7 at Harvard. 8 For assistant and associate 9 professors, a recommendation is made by the 10 appointing professor in one of the departments to 11 the psychiatry executive committee; who if they 12 approve send it on to the Harvard appointments 13 committee, who says yea or nay. 14 For full professors, there is a 1.5 different procedure, in that a search committee is 16 formed. 17 If it's a promotion from within, it's 18 an ad hoc search committee. If a person is being 19 sought for a position, it's a search committee with 20 outside applicants. 21

they make their recommendation to the subcommittee

of professors and eventually to the council of

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The search committee deliberates, and

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the program, but were not involved as much in the training component.

- Q. Do you know if residents pay any form of tuition or charge for being in the program?
 - A. I don't think they do.
 - Q. Do you know how they're supported?
- A. Yes. The residents in the Harvard South Shore program are paid through the VA.

There are a couple of residency slots that are paid by the state.

- Q. Any paid by Harvard?
- A. No.
- Q. Do you know if Dr. Mushrush is the director of the program today?
- A. Dr. Mushrush is currently director of the Harvard South Shore residency training program.
- Q. And is that going to change any time in the near future, to your knowledge?
- A. There is a search, and it's open to Dr. Mushrush to apply for the position.
- Q. Do you know if there's a point at which the search will be concluded and some person will be appointed, and that person might be Dr. Mushrush?
 - A. No, I don't. Searches are hard to predict.

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1	Q. Do you know who initiated the search?
2	A. Yes.
3	This was when Dr. Kaplan came on board
4	as chief. He in consultation with Dr. Charness, who
5	is an associate dean, I think, for Harvard-VA
6	affairs with Harvard, and is also chief of
7	staff at the VA.
8	Q. Do you know what Dr. Charness's first name
9	is?
10	A. Michael.
11	Q. I think you used the word dean to describe
12	him. Does that mean he's a dean of the medical
13	school?
14	A. He may be an associate dean for Harvard-VA
15	affairs, or a title something like that.
16	Q. I'm trying to understand what kind of dean
17	he might be, or what his deanly role might be.
18	A. Again, Harvard has to have some liaison
19	with the VA.
20	Dr. Charness came on board maybe
21	2001, 2002, 2003, I'm not sure; and before that
22	the liaison with the VA had been the dean for
23	clinical affairs, Dr. Ray Dolan.
24	Dr. Charness has a Harvard appointment,

can tell me any more in the way of detail about that.

A. Well, I can tell you about Dr. Dolan; and there was usually a joint meeting of VA chiefs of services and the deans responsible for academic liaison from Harvard and from BU.

There was a monthly, or every-other-month, or quarterly meeting where issues of concern were discussed, plans were discussed. Otherwise, there wasn't much contact.

Q. Now, you testified earlier that Harvard didn't get involved particularly in day-to-day operation of the residency program.

Do you know if Dr. Mushrush holds a faculty appointment at Harvard Medical School?

- A. She is a clinical assistant professor, and I'm not sure of her date of appointment for that.
- Q. Are there any other clinical assistant professors besides her that you haven't mentioned?
 - A. That are involved in the program?
 - O. Yes.

- A. Not that I can recall.
- Q. Can you describe for me the strategic issues and questions that the Harvard-affiliated

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administrators address themselves to?

A. One of the issues was, there was a merger of Brockton-West-Roxbury/Jamaica Plain.

Often students affiliated with one school might have contact with teachers from another school, and the question was is this okay; that kind of thing.

- Q. Now, you say teachers from another school. Does that mean...
- A. That would mean Harvard teachers for BU students, or BU teachers for Harvard students.
- Q. Do you know if anybody held appointments at both BU and Harvard?
- A. Dr. Charness does, and I hold a lecturer appointment at Boston University.

Lecturer appointments at Harvard are usually given to individuals that have special responsibilities for positions that are important at Harvard, but are not primarily in the Harvard academic ladder.

- Q. Do you have an office at Harvard?
- A. I have an office at the VA, but not within the Harvard Medical School itself.
 - Q. Do you know if the structure, as

would-be psychiatrists to apply to the program? 1 MR. FIELD: Objection. You can answer. 2 It's one factor. 3 Α. You've told me so far that there's a 4 director of residency, who I think was Dr. Mushrush 5 or is Dr. Mushrush? 6 That's correct. Α. 7 And yourself. What other administrators 8 are there in the Harvard South Shore psychiatric 9 residency program? 10 There's the residency director; and above 11 Dr. Mushrush is Dr. Kantor, who is the VA associate 12 chief of staff for education. 13 That's the residency educational 14 organization that makes sure that the program is 15 accredited, and that the residents fulfill all of 16 the necessary requirements. 17 On another level from that, there are 18 the clinical-service chiefs, of which I was one for 19 mental health, who have as their responsibility to 20 insure good clinical care for patients. 21 And who makes up that side, if you will, of 22 0. the organizational structure? 23

I'm sorry; which side?

committee?

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South Shore? 1 Α. Yes. 2 Can you describe that for me, please? 3 Yes. There is a residency admission 4 committee; and then there's the evaluation and 5 promotions committee, which meets to decide which 6 residents should be promoted and which residents 7 need additional work before being promoted. 8 Any other committees? 0. 9 Yes, I think there are. Those are the ones 10 that come to my mind. 11 Do you have any how many others there might 12 13 be? I don't know. Maybe a couple more. Α. 14 not quite sure. 15 Have you ever heard of a training 16

A. Yes. That would be the committee, I guess, that oversees the residency education program.

The names of some of these committees may have changed throughout the years, so that may account for some of the differences that there are in terminology.

Q. Do you ever get involved in issues of

I think it's a he, and I think he was a 1 resident; and I understood there was some kind of 2 difficulty, and I'm not certain of the nature of 3 that. 4 Would you ever have occasion to look at a Q. 5 resident's file? 6 I don't recall doing that. 7 By the way, when someone enters the program 8 as a resident, do they sign anything; an agreement 9 of any kind? 10 I assume they sign a lot, because you can't 11 get into the VA without signing a lot of things; but 12 I can't tell you all of the forms. 13 By the way, have you ever taught rotations Q. 14 as part of the residency program? 15 Do you mean was I in charge of a clinical 16 rotation and clinical supervision for a particular 17 tour of duty? 18 Well, there you go again. I use the word 19 teach, and I don't know... 20 And which time period are you... Α. 21 1999 to 2004. Ο. 22 No, I was not doing that. 23 Α.

Have you ever done it; ever?

Q.

1	Q. What about good pedagogical or educational
2	practice?
3	A. I'm sorry; I'm not understanding what you
4	mean.
5	How would that come up with a resident?
6	You mean if the resident was teaching somebody the
7	wrong thing?
8	Q. No; if the resident wasn't learning as well
9	as the resident should be learning.
10	A. If the resident wasn't learning clinical
11	medicine and how to treat patients, that's serious.
12	I mean, you said pedagogy; and I'm
13	distinguishing pedagogy in the sense of learning
14	about a subject from learning medical practice.
15	Q. Well, what's the basis? You mentioned that
16	sometimes residents don't get promoted.
17	By the way, how often does that happen,
18	in your experience?
19	A. Not terribly often.
20	Q. Once a year?
21	A. Maybe less.
22	Q. How often have residents been asked to do
23	remedial training?
2.4	A Again, I'm not certain that I know of all

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there was the VA establishment of a residency
1
   program that enabled it to receive VA stipends
2
    for its trainees.
3
            And then, did Harvard supply the
4
    educational component?
5
                As I said, Harvard doesn't prescribe
           No.
6
        Α.
    educational components for residents.
7
                That's the responsibility of Dr. Kantor,
8
    or the ACOS for education, the residency director
9
    and the ACGME.
10
            Do you think it would be fair to put a
11
    resident on probation without giving any warning or
12
    explaining why?
13
             I'm not aware that that's been done.
        Α.
14
             But that's not the question that I asked.
         Q.
15
                 MR. FIELD: Objection. You can answer
16
17
     it.
             I would not follow that procedure.
                                                   I would
18
     not recommend that procedure be followed.
19
             Have you ever heard of someone named Ted
20
         Q.
     Trevis?
21
             I don't recall the name.
         Α.
22
             If I mention the Brigham and Women's
 23
     Hospital, would that...
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Dr. Badgaiyan's promotion or nonpromotion. Α. 1 And how did that come up? 2 It came up because the promotions committee 3 was concerned that there had been what they deemed 4 to be serious problems with him, so that they didn't 5 deem him worthy of promotion. 6 Do you recall what the problems were? 7 Again, I don't know the details; but the 8 bottom line was that his clinical performance 9 wasn't good, and his attitude wasn't good. 10 How did you learn about that? Q. 11 Through Dr. Swett, whom I talked with about Α. 12 this. 13 Dr. Swett had also discussed this with 14 Dr. Mushrush, and people who were Dr. Badgaiyan's 15 supervisors. 16 Can you remember any of their names? 17 Dr. Badgaiyan's supervisors? 18 recall them exactly. 19 Did you ever discuss Dr. Badgaiyan with 20 Dr. Mushrush? 21 My main contact was through Dr. Swett, who 22 was chief of clinical operations in mental health; 23 and he also was a member of the promotions and

evaluations committee.

- Q. And my question really was, did you ever discuss Dr. Badgaiyan with Dr. Mushrush.
 - A. Yes.
 - Q. And when was that, the first time?
- A. Probably at the beginning, when he was a candidate for residency; and then the next time I can be sure of, although it probably came up from time to time, was in May 2002.
- Q. And what did Dr. Mushrush say to you about Dr. Badgaiyan?
- A. Well, at that point I don't recall my conversations with Dr. Mushrush. I recall them mainly with Dr. Swett, who was the chief of clinical operations and also a member of the promotions and evaluations committee.

So I don't recall specifically what went on with Dr. Mushrush.

- Q. Do you recall at any time anything that Dr. Mushrush ever said to you about Dr. Badgaiyan?
- A. I recall Dr. Mushrush concurred with Dr. Swett's opinion that he was having problems in his clinical-residency performance and attitude.
 - Q. And do you recall when you learned that?

1	A. Again, what I recall is in May 2002.
2	There could have been something earlier, but my
3	recollection is largely based on these e-mails.
4	I, again, was a pretty busy person,
5	worrying about a 300-man-and-woman health service.
6	Q. And what did Dr. Swett say to you about
7	Dr. Badgaiyan?
8	A. He said there were problems with his
9	clinical performance and his attitude.
l O	Q. And did he describe those problems for you?
11	A. Yes, he did. I can't recall the details,
12	but he certainly described them; and also his
13	consultations with Dr. Badgaiyan's immediate
14	supervisors.
15	Q. I think I may have asked you this; but just
16	to make sure, can you recall any of those immediate
17	supervisors were that he referred to?
18	A. They were people on different rotations,
19	and that was not my level of involvement with the
20	program.
21	Q. I understand that; but the question is, do
22	you remember their names?
23	A. No, I don't remember their names.
24	Q. Just so I'm clear, I asked you if Dr.

that are in the evaluations? 1 Perhaps I shouldn't have used the term 2 He did not get excellent evaluations. 3 Do you know how the evaluation system is 4 5 ranked? He was not passing; was not satisfactory. 6 Do you recall anything else that Dr. Swett 7 said about Dr. Badgaiyan? 8 I think that was the gist of what he said. 9 Is that all you recall today? 0. 10 That's all I recall today. This was, Α. 11 again, 2002. 12 Do you know the basis is on which awards 13 are granted to... 14 I'm sorry. Which awards are you talking Α. 15 about? 16 Something called the duPont fellowship, Q. 17 I think. 18 I'm very familiar with that one. That's 19 a purely research fellowship, that's awarded by the 20 Harvard department of psychiatry from money given to 21 it; and it's a competitive fellowship. I sponsored 22 Dr. Badgaiyan for that. 23 There's another one, that begins with an M.

24

Q.

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There is a Livingston. Α. 1 There's a fellowship that's supposed to be Q. 2 awarded to residents across the... 3 Oh, the Maisel Award? Α. 4 That might be it. Q. 5 That's given to a resident who has the Α. 6 outstanding research poster at Harvard Research Day. 7 Do you know how the selection is made? 8 On the basis of research merit. 9 I believe that, but the question is what 10 the process is. 11 The residents put up posters. 12 a Maisel judging committee that goes around and 13 evaluates the candidate posters and then selects 14 the best. 15 Is it a vote? 0. 16 It's consensus among the committee; right. Α. 17 And who is on the committee? 18 Q. Whoever is on the Maisel Award committee; Α. 19 which is usually people who have a strong research 20 interest within the several Harvard departments of 21 psychiatry. It changes from year to year. 22

Did you ever talk with Dr. Badgaiyan

directly after he was admitted to the residency

- A. Well, the whole issue of his performance of course came up in May 2002.
- Q. I'm going to ask you to tell me everything that you can recall about whatever aspect of his performance caught your eye at that time.
 - A. Caught my eye.

I think I reported that Dr. Swett discussed with me the problems that he was hearing about in his performance.

- Q. But the only thing I recall you mentioning was that there were some attendings, or whoever the people are that run the rotations, who had some issues.
- A. Dr. Swett had an opinion, and in the opinion of the evaluations and promotions committee the problems were sufficiently strong that they recommended against promoting him.

They thought he was not performing adequately in terms of clinical psychiatry.

- Q. And I guess my question to you is if you can recall today any of the bases that led them to reach that conclusion.
 - A. I don't know all of them.

MR. FIELD: Objection. This is the